

致：道口證券有限公司
To: DaoKou Securities Limited

地址：香港灣仔港灣道 23 號鷹君中心 14 樓 1406 室
Address: Suite 1406, 14/F, Great Eagle Centre,
23 Harbour Road, Wan Chai, Hong Kong
電話號碼 Tel No.: (852) 2208 7800 / (852) 2208 7820
傳真號碼 Fax No.: (852) 2521 7700

內地投資者聲明及結算銀行帳戶承諾書

Mainland Investor Declaration and Settlement Bank Account Undertaking

本人（「客戶」）謹此向本公司作出以下聲明及承諾，並確認本公司將依據適用法律及監管要求（包括證監會相關通函及指引）處理本人之帳戶：

I, the "Client", hereby voluntarily apply to open a securities account and use related services with your company, and hereby make the following important declarations and confirmations:

1. 資金來源（投資及結算）

1. Source of funds (investment and settlement)

本人確認，用以支持本人之投資活動及相關結算的所有資金，均來自中國內地以外的合法來源。

I confirm that all funds used to support my investment activities and related settlement originate from lawful sources outside Mainland China.

2. 可疑 / 偽造文件

2. Disputed / forged documents

本人確認，並無因曾使用可疑或偽造文件而令本人之帳戶遭到任何持牌法團或銀行關閉或暫停。

I confirm that I have not had any account closed or suspended by any licensed corporation or bank due to the use of suspicious or forged documents.

3. 資料變更通知

Change notification

本人承諾，如本聲明及承諾書所載任何資料出現更改，將於更改後七（7）個營業日內通知本公司，並提供所需證明文件。

I undertake to notify the Company within seven (7) business days of any changes to the information in this Declaration and provide supporting documents as required.

4. 資料披露

4. Disclosure to authorities

本人確認明白，本公司可能會按執法機構或監管機構之要求，披露本人之個人及其他相關資料。

I acknowledge that the Company may disclose my personal and other relevant information upon request by law enforcement agencies or regulators.

5. 結算銀行帳戶承諾

5. Settlement Bank Account Undertaking

本人將使用以本人名義在香港持牌銀行，或在合資格司法管轄區受銀行業監管機構監督之銀行所持有的銀行帳戶，作結算之用；及就有關投資帳戶所作出的所有資金存入及提取（如適用），只會透過上述銀行帳戶進行。

I will use a bank account held in my name with a licensed bank in Hong Kong or a bank supervised by a banking regulator in an eligible qualifying jurisdiction for settlement purposes; and All deposits and withdrawals (where applicable) in relation to the investment account will be conducted only through such bank account.

客戶簽署：

Client Signature:

日期：

Date:

賬戶號及客戶名稱：

A/C No. and Name:

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非招攬聲明 Non-solicitation Statement

本人("客戶")茲自願申請于贵公司开立證券賬戶及使用相關服務，並在此作出以下重要聲明與確認：
I, the "Client", hereby voluntarily apply to open a securities account and use related services with your company, and hereby make the following important declarations and confirmations:

1. 自主申請確認

1. Confirmation of Independent Application

本人確認，此次開戶申請完全出于本人自主意願，旨在進行個人投資。贵公司及其員工**未以任何形式**向本人(特別是當本人身處中國內地時)進行招攬、推介或發出投資邀請。

I confirm that this account opening application is made solely out of my own independent intention for the purpose of personal investment. Your company and its employees have not, in any form, solicited, recommended, or issued any investment invitation to me, especially when I am in Mainland China.

2. 政策與合規知悉

2. Acknowledgment of Policies and Compliance

本人知悉，贵公司為香港持牌機構。本人確認，將自行負責確保其投資行為、資金跨境流動(包括資金來源及出境方式)完全符合本人所在地(包括中國內地)的法律、法規及外匯管理規定。

I am aware that your company is a licensed institution in Hong Kong. I confirm that I will be solely responsible for ensuring that my investment activities and cross-border fund flows, including the origin of funds and methods of outflow, fully comply with the laws, regulations, and foreign exchange control rules of my location, including Mainland China.

3. 信息真實性與持續義務

Accuracy of Information and Ongoing Obligations

本人保證為本申請所提供及未來可能要求補充的一切資料均真實、準確、完整。本人同意配合贵公司持續的盡職調查，並承擔因提供虛假、過時信息或違反相關法規而產生的一切責任。

I warrant that all information provided for this application and any supplementary information that may be required in the future is true, accurate, and complete. I agree to cooperate with your company's ongoing due diligence and shall bear all liabilities arising from providing false or outdated information or violating applicable laws and regulations.

4. 聲明確認與接受

4. Confirmation and Acceptance of Declarations

本人確認，贵公司已向本人解釋本聲明的含義，且本人完全理解並自願接受其全部條款，本聲明構成本人開戶申請文件不可分割的一部分。

I confirm that your company has explained the meaning of this declaration to me, and I fully understand and voluntarily accept all its terms. This declaration forms an integral part of my account opening application documents.

客戶簽署:

Client Signature:

日期:

Date:

賬戶號及客戶名稱:

A/C No. and Name: